

## Notes:

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- We don't even need to measure  $B$  (outcome is never used again!)
- $H^{\otimes n}$  can be understood as Fourier transform over  $\mathbb{Z}_2^{x_n}$   
→ period finding via Fourier transform (cf. later!)

## IV.3. Grover's algorithm

For many hard computational problems, it is possible to check solution efficiently, but we don't know how to find it. — So-called "NP problems".

Examples: Graph coloring, factoring, 3-SAT, Hamiltonian path, tiling problems, ...

### Reformulation:

We can compute  $f(x) \in \{0, 1\}$ ;  $x \in \{0, 1, \dots, N-1\}$

—  $f(x)$  is a "verifier" for a solution  $x$ ;

where  $f(x) = 1$  means "solution correct" —

and we want to find some  $x_0$  s.t.  $f(x_0) = 1$ .

(Can be interpreted as "database search": want 104 to find "marked element"  $x_0$  in an unstructured database.)

Assume for now that  $x_0: f(x_0) = 1$  is unique.  
(Generalization: later / homework)

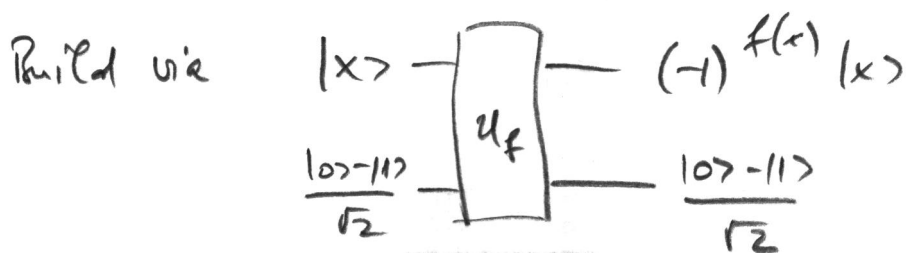
Classically: Need  $O(N)$  queries to  $f$  for an unstructured search (i.e., w/out using properties of  $f$ ).

Quantum computers: Will show that  $O(\sqrt{N})$  queries enough.

(Note: Only quadratic speedup, but for a very large class of relevant problems)

Ingredient 1:

$$\text{Oracle } O_f : |x\rangle \mapsto (-1)^{f(x)} |x\rangle = (-1)^{\delta_{x,x_0}} |x\rangle$$



i.e.,  $O_f$  flips amplitude of "marked" element.

Note that  $O_f = I - 2 \cdot |x_0\rangle\langle x_0|$

Ingredient 2:

Unitary  $O_0 : |x\rangle \mapsto (-1)^{\delta_{x,0}} |x\rangle$



→ can be realized efficiently

Again,  $O_0 = I - 2|0\rangle\langle 0|$

Define  $O_\omega := H^{\otimes u} O_0 H^{\otimes u} = I - 2|\omega\rangle\langle \omega|$ ;  $|\omega\rangle = \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle$ .

(Remark: We assumed here  $N=2^u$ , but not necessary. Also, every search problem can be triv. embedded s.t.b.  $N=2^u$ .)

Algorithm:

Start from  $|\psi_0\rangle = |\omega\rangle = H^{\otimes u} |0\rangle$ .

Apply Grover iteration

$$G = -H^{\otimes u} O_0 H^{\otimes u} O_f = -O_\omega O_f$$

$$|\psi_k\rangle \mapsto |\psi_{k+1}\rangle = G |\psi_k\rangle = -O_\omega O_f |\psi_k\rangle$$

Observation: Only 2 "special" vectors in  $O_f, O_w$ : (106)

$|x_0\rangle$  and  $|w\rangle \Rightarrow$  can analyze everything in two-dim. space spanned by  $|x_0\rangle$  and  $|w\rangle$ !

Define  $|\alpha\rangle := |x_0\rangle$

$$|\beta\rangle := \frac{1}{\sqrt{N-1}} \sum_{x \neq x_0} |x\rangle \propto |w\rangle - \frac{1}{\sqrt{N}} |x_0\rangle \quad \left. \vphantom{\sum} \right\} |\alpha\rangle \perp |\beta\rangle$$

We can always rewrite

$$a|\alpha\rangle + b|\beta\rangle = x|w\rangle + y|w^\perp\rangle, \text{ with } |w^\perp\rangle \perp |w\rangle$$

What is effect of  $O_f$  and  $(-O_w)$ ?

$$O_f (a|\alpha\rangle + b|\beta\rangle) \stackrel{\uparrow}{=} -a|\alpha\rangle + b|\beta\rangle$$

$$O_f = I - 2|\alpha\rangle\langle\alpha|$$

$\Rightarrow$  Reflection about  $|\beta\rangle$ !

$$(-O_w)(x|w\rangle + y|w^\perp\rangle) = x|w\rangle - y|w^\perp\rangle$$

$\rightarrow$  Reflection about  $|w\rangle$ !

i.e.: Grover iteration = 1) reflect about  $|\beta\rangle$

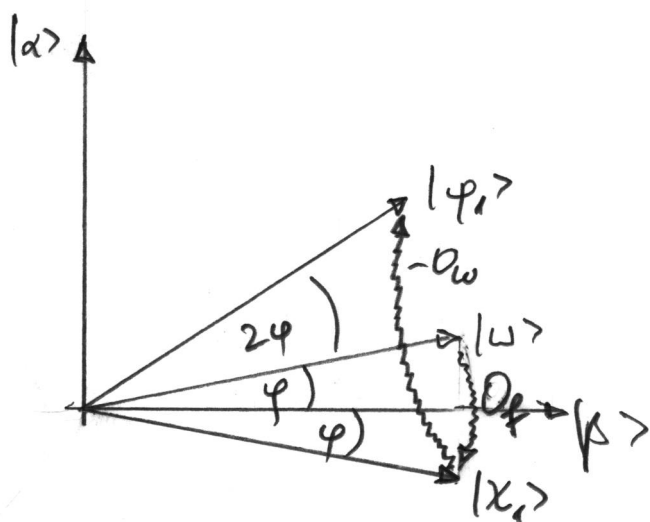
2) reflect about  $|\omega\rangle$

So what happens in one iteration, if we start with  $|\psi_0\rangle = |\omega\rangle$ ?

$$|\omega\rangle = \sin\varphi |\alpha\rangle + \cos\varphi |\beta\rangle$$

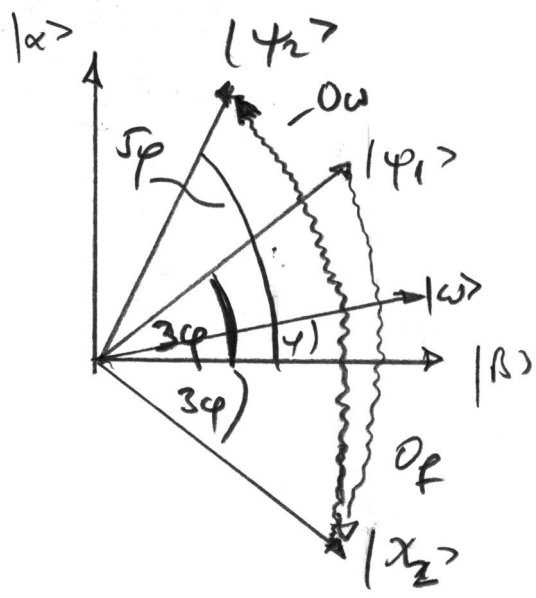
$$|\chi_1\rangle = O_f |\omega\rangle$$

$$|\psi_1\rangle = -O_\omega |\chi_1\rangle = -O_\omega O_f |\omega\rangle$$



$$|\psi_1\rangle = \sin(3\varphi) |\alpha\rangle + \cos(3\varphi) |\beta\rangle.$$

Next iteration:  $|\psi_2\rangle = -O_\omega \underbrace{O_f |\psi_1\rangle}_{=: |\chi_2\rangle}$



$$\Rightarrow |\psi_2\rangle = \sin(5\phi) |\alpha\rangle + \cos(5\phi) |\beta\rangle$$

$$\Rightarrow |\psi_k\rangle = \sin((2k+1)\phi) |\alpha\rangle + \cos((2k+1)\phi) |\beta\rangle.$$

Want that  $\psi_k = (2k+1)\phi \approx \frac{\pi}{2}$ . Then, meas.

will w/ high prob. yield  $|\alpha\rangle = |k_0\rangle!$

We have:  $|\omega\rangle = \frac{1}{\sqrt{N}} |\alpha\rangle + \sqrt{\frac{N-1}{N}} |\beta\rangle$   
 $= \sin\phi |\alpha\rangle + \cos\phi |\beta\rangle$

$$\Rightarrow \frac{\sin\phi}{\cos\phi} = \frac{\sqrt{\frac{1}{N}}}{\sqrt{\frac{N-1}{N}}} = \frac{1}{\sqrt{N-1}}$$

$$\Rightarrow \phi \approx \frac{1}{\sqrt{N}} \text{ for large } N.$$

$$\Rightarrow \text{used } k \approx \frac{\pi}{4} \sqrt{N}$$

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$\Rightarrow O(\sqrt{N})$  calls to  $f$  sufficient!

Quadratic speed-up w.r.t. classical algorithms  
for several real problems!

Note: •  $K$  solutions: Same method works with

$$O\left(\sqrt{\frac{N}{K}}\right) \text{ steps } (\rightarrow HW)$$

• Can be adopted to case where  $K$  is unknown.

#### IV.4. The quantum Fourier transform, period finding, and Shor's factoring algorithm

Recall: Simon's algorithm  $\rightarrow$  use  $H^{\otimes n} \hat{=}$  Fourier trafo  
over  $\mathbb{Z}_2^{\otimes n}$  to find period in  $\mathbb{Z}_2^{\otimes n}$ .

$\rightarrow$  Can we construct a general Q. Fourier Trafo?

$\rightarrow$  Can it be implemented efficiently?

$\rightarrow$  Applications?

## a) The Quantum Fourier Transform (QFT)

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Fourier transform (FT) on  $\mathbb{C}^N$ :

$$x = (x_0, \dots, x_{N-1}) \in \mathbb{C}^N$$

$$y = (y_0, \dots, y_{N-1}) \in \mathbb{C}^N$$

$$\text{FT: } x \mapsto y \text{ s.t. } y_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j \cdot e^{2\pi i jk/N}$$

Define QFT:

$$|j\rangle \mapsto \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i jk/N} |k\rangle$$

(Note: QFT:  $\sum x_j |j\rangle \mapsto \sum x_j e^{2\pi i jk/N} |k\rangle = \sum y_k |k\rangle$ )

$\Rightarrow$  QFT applies FT to amplitudes)

Computational cost of FT:  $O(N^2)$  operations.

With  $N=2^n \rightarrow$  exp. cost in # of bits  $n$ .

Fast FT (FFT):  $O(N \log N)$ , but still  $n \exp(n)$ .

Will show: QFT can be implemented in  $O(n^2)$  steps

$\rightarrow$  exponential speed-up!



Rewrite QFT in binary:

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\*  $N = 2^n$

\* Write  $j$  in binary:  $j = j_1 j_2 \dots j_n = j_1 2^{n-1} + j_2 2^{n-2} + \dots + j_n 2^0$

\* Decimal part:  $0.j_1 j_2 \dots j_n = \frac{1}{2} j_1 2^{-1} + \frac{1}{4} j_2 2^{-2} + \dots$

Then:

$$|j\rangle \mapsto \frac{1}{2^{n/2}} \sum_{k=0}^{2^n-1} e^{2\pi i j k / 2^n} |k\rangle$$

$$= \frac{1}{2^{n/2}} \sum_{k_1=0,1} \dots \sum_{k_n=0,1} e^{2\pi i j \left( \sum_{\ell=1}^n k_\ell 2^{-\ell} \right)} |k_1, \dots, k_n\rangle$$

$$= \bigotimes_{\ell=1}^n \left[ \frac{1}{\sqrt{2}} \sum_{k_\ell=0,1} e^{2\pi i j k_\ell 2^{-\ell}} |k_\ell\rangle \right]$$

$$= \bigotimes_{\ell=1}^n \frac{1}{\sqrt{2}} \left[ |0\rangle + e^{2\pi i j 2^{-\ell}} |1\rangle \right]$$

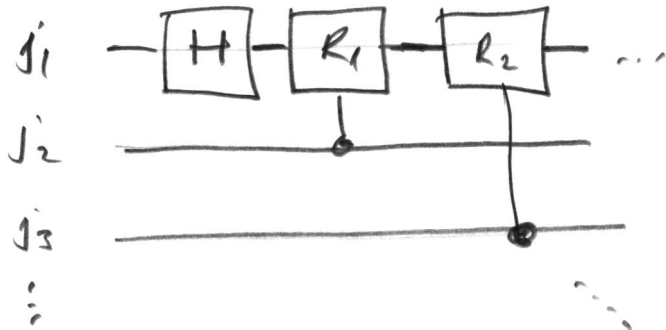
$\rightarrow j 2^{-\ell} = \underbrace{j_1 j_2 \dots j_{n-\ell} \cdot j_{n-\ell+1} \dots j_n}_{e^{2\pi i \cdot 1 \cdot k_\ell j} = 1}$

$$= \frac{|0\rangle + e^{2\pi i 0 \cdot j_n} |1\rangle}{\sqrt{2}} \otimes \frac{|0\rangle + e^{2\pi i 0 \cdot j_{n-1} j_n} |1\rangle}{\sqrt{2}} \otimes \dots \otimes \frac{|0\rangle + e^{2\pi i 0 \cdot j_1 \dots j_n} |1\rangle}{\sqrt{2}}$$

# How to implement this map?

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Start w/ right most term:  $\frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}}$



$$R_d = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i \cdot 2^{-d} \theta_j} \end{pmatrix}$$

action of circuit (up to control):

$$H: |j_1\rangle \mapsto \frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}}$$

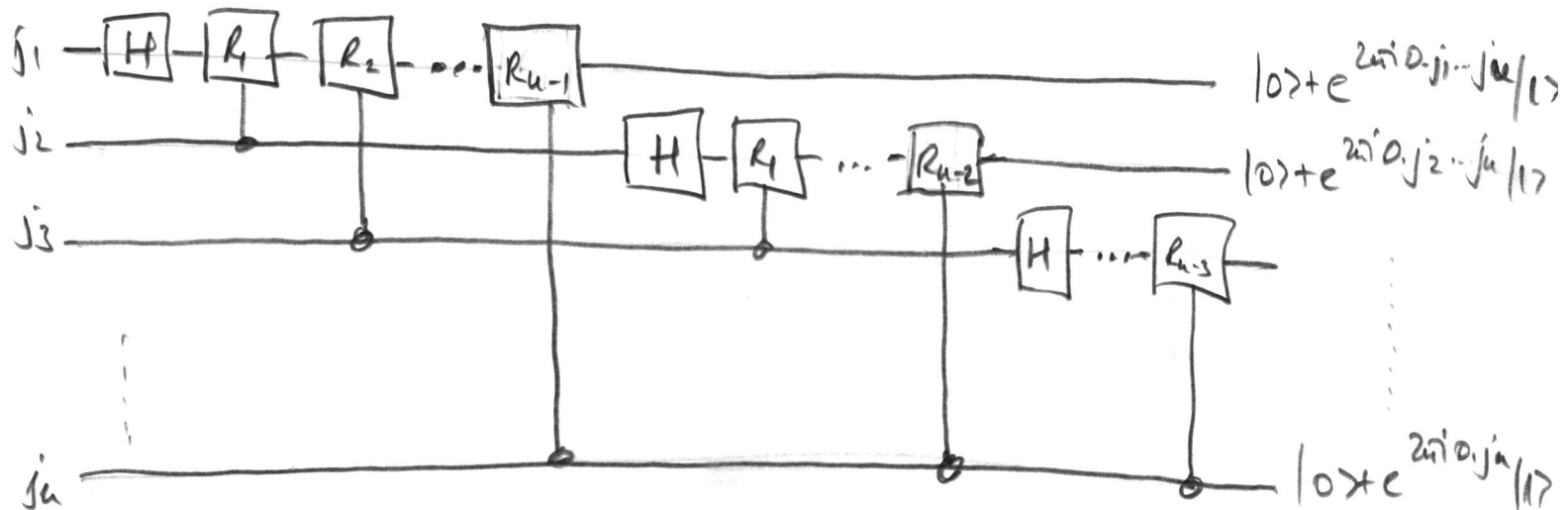
$$C-R_1: \left( \frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}} \right) |j_2\rangle \mapsto \left( \frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}} \right) |j_2\rangle$$

$$C-R_2: \left( \frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}} \right) |j_2\rangle |j_3\rangle \mapsto \left( \frac{|0\rangle + e^{2\pi i \theta_j} |1\rangle}{\sqrt{2}} \right) |j_2\rangle |j_3\rangle$$

⋮ etc.

⇒ obtain  $n$ -th qubit of QFT on 1st qubit.


Continue like that for  $(j_2, \dots, j_n), (j_3, \dots, j_n), \dots$



Gate Count:  $\frac{n(n+1)}{2} = O(n^2)$  gates!

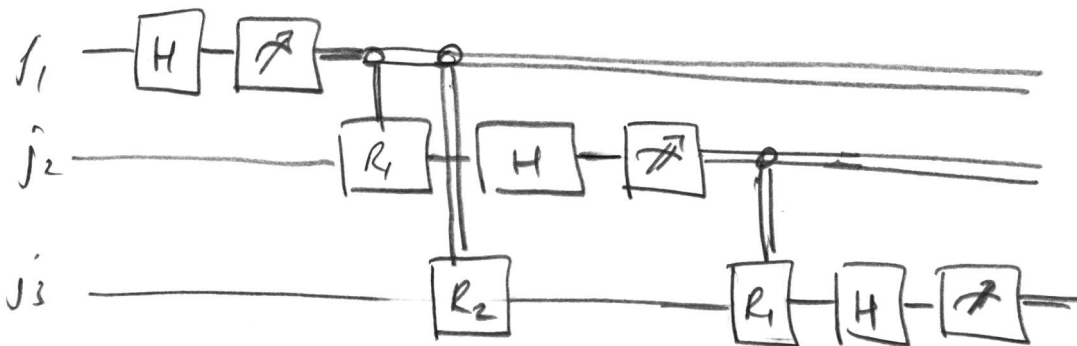
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Notes: • Output is in reverse order (but reordering  $\sim O(n)$  ops).

•   $\Rightarrow$  can reverse C-Rd gates.

Upper (control) line acts as control in comp. basis:

If we measure after QFT, we can meas. after H & control Rd-gates classically!



$\Rightarrow$  only one-qubit gates needed!

## 6) Period finding

Use of QFT: period finding?

Consider  $f: \{0, 1\}^n \rightarrow \{0, 1\}^n$ , s.t.  $\exists r > 0$ ,

$f(x) = f(x+r)$  (and otherwise  $f(x) \neq f(y)$ )

Can we find  $r$ ?

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Use  $U_f: |x\rangle|y\rangle \mapsto |x\rangle|y \oplus f(x)\rangle$

$$\textcircled{1} \frac{1}{2^{u/2}} \sum_A |x\rangle \sum_B |0\rangle \xrightarrow{U_f} \frac{1}{2^{u/2}} \sum_A |x\rangle |f(x)\rangle_B$$

$\textcircled{2}$  Measure  $B \rightarrow A$  collapses to

$$\frac{1}{\sqrt{k_0}} \sum_{k=0}^{k_0} |k_0 + kr\rangle$$

(Note: As for Simon, we could omit this step!)

$\textcircled{3}$  Apply QFT & measure in comp. basis:

$$\rightarrow \frac{1}{2^{u/2} \sqrt{k_0}} \sum_k \sum_{l=0}^{2^u-1} e^{2\pi i (k_0 + kr) l / 2^u} |l\rangle$$

$$= \sum_{l=0}^{2^u-1} e^{2\pi i k_0 l / 2^u} \left[ \sum_{k=0}^{k_0-1} \frac{1}{2^{u/2} \sqrt{k_0}} e^{2\pi i k r l / 2^u} \right] |l\rangle$$

$=: a_l$

$|a_l|^2$ : probability of outcome  $l$ .

If  $r \ll 2^n$  : many values of  $k$  & almost periodic (115)

$\Rightarrow |a_e|^2$  peaked around  $l$  s.t.  $\frac{r^l}{2^n} \approx \text{integer}$

Explicit analysis of  $a_e$  shows: w.h.p., we obtain  $l$  s.t.  $\frac{r^l}{2^n} \approx \text{integer}$   
 $\hookrightarrow$  "with high probability"

$$\frac{l}{2^n} \approx \frac{s}{r}$$

If  $r \ll 2^n$ , this can be used to determine  $\frac{s}{r}$  w.h.p.

If  $s, r$  are coprime (i.e.,  $\text{gcd}(s, r) = 1$ ) - this happens with large enough prob., related to density of primes - we can infer  $r$ !

$\Rightarrow$  Quantum Algorithm for period finding!

c) Application: factoring

one use of of period finding: factoring.

Given  $N$  (not prime)  $\rightarrow$  find non-trivial  $r$ :  $r | N$   
 $\uparrow$   
"divides"

# Algorithm:

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① Select random  $a$ ,  $2 \leq a < N$ .

If  $\gcd(a, N) \geq 1 \implies$  done!

$\hookrightarrow$  eff. computable! (Euclid's algorithm)

So assume  $\gcd(a, N) = 1$ .

② Let  $r$  be the smallest  $r$  s.t.  $a^r \pmod N = 1$ .

(Existence: (i)  $\exists x, y: a^x \equiv a^y \pmod N \implies a^x(1 - a^{y-x}) \equiv 0 \pmod N$   
 $\implies N \mid a^x(1 - a^{y-x}) \xrightarrow{\gcd(a, N)=1} N \mid 1 - a^{y-x} \implies a^{y-x} \equiv 1 \pmod N$ )

$r$  is the period of  $f_{N, a}(x) = a^x \pmod N$

$f_{N, a}(x)$  can be computed efficiently:

with  $x = x_{m-1} 2^{m-1} + x_{m-2} 2^{m-2} + \dots$ ,

$$a^x \pmod N = \underbrace{\left( a^{(2^{m-1})} \right)^{x_{m-1}}}_{\text{eff. computable}} \cdot \left( a^{(2^{m-2})} \right)^{x_{m-2}} \dots \pmod N,$$

$\uparrow$   
eff. computable by  $a \mapsto a^2 \mapsto a^4 \mapsto a^8 \mapsto \dots$

$\implies r$  can be found eff. w/ a quantum computer!

③ Assume  $r$  even:

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$$a^r \bmod N = 1 \iff N \mid (a^r - 1) \iff N \mid (a^{r/2} - 1)(a^{r/2} + 1)$$

and  $N \nmid (a^{r/2} - 1)$  (otherwise,  $a^{r/2} \bmod N = 1$   $\downarrow$ )

$\Rightarrow$  either  $N \mid a^{r/2} + 1$

or  $N$  has non-triv. common factors with both  $a^{r/2} \pm 1$

$$\Rightarrow 1 \neq \gcd(N, a^{r/2} + 1) \mid N$$

$\Rightarrow$  found non-triv. factor of  $N!$

$\Rightarrow$  Algorithm successful as long as

(i)  $r$  even & (ii)  $N \nmid (a^{r/2} + 1)$

Can be shown to happen w/  $p \geq 1/2$  for random choice of  $a$  (unless  $N = p^k$ ,  $p$  prime  $\rightarrow$  can be checked by taking logs)

$\Rightarrow$  efficient quantum algorithm for factoring

"Shor's algorithm"

# V. Quantum Error Correction

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## V.1. Introduction

- Coupling to environment induces errors
- Classical computers: "microscope"  $\rightarrow$  errors unlikely
- Q. computers: - need qubits = "single" quantum systems  
 $\rightarrow$  fragile!  
- need coupling to "env." to realize gates!

So... can we protect quantum information from noise?

### Classical error correction:

copy information, e.g. encode 1 bit as 3:

$$0 \mapsto \hat{0} = 000$$

$$1 \mapsto \hat{1} = 111$$

Bit flip w/ some (small) probability  $p \Rightarrow$  typ. 0 or 1 bits flipped

Correction: majority vote, i.e.

$$000, 001, 010, 100 \mapsto 000$$

$$111, 110, 101, 011 \mapsto 111$$

$$P_{\text{error}} = \text{prob}(\geq 2 \text{ flips}) = p^3 + 3p^2(1-p) = 3p^2 < p \text{ for } \underline{p < 1/3!}$$



⇒ effective error prob. decreased.

Can be improved by

- using more bits
- using smarter codes (encoding 4 bits)
- nesting ("concatenating") codes

Quantum error correction:

Several potential problems:

- Can't copy qubits (and even if: how do we compare them?)
- different types of errors, e.g. X (bit flip) or Z (phase flip)
- errors can be continuous
- measuring qubits destroys q. info!

a) The 3-qubit bit flip code

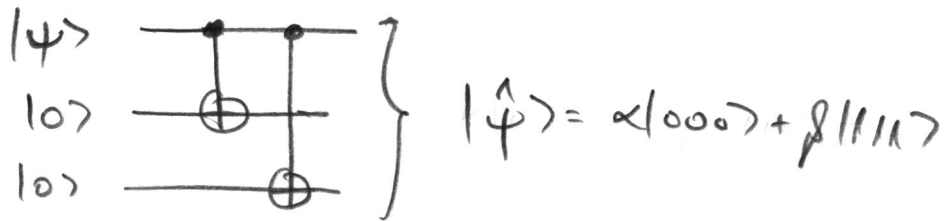
Copy qubits in fixed basis:

$$|0\rangle \mapsto |\hat{0}\rangle = |000\rangle$$

$$|1\rangle \mapsto |\hat{1}\rangle = |111\rangle$$

i.e.:  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \xrightarrow{\text{encoding}} |\hat{\psi}\rangle = \alpha|000\rangle + \beta|111\rangle$  120

Encoding circuit:



Consider bit flip error  $|\hat{\psi}\rangle \mapsto X_i|\hat{\psi}\rangle$  on qubit  $i$ .

Can we correct for one bit flip error on unknown qubit?

Problem: Meas. all qubits destroys q. info!

$\Rightarrow$  Need meas. which only returns info about location of error (indep. of encoded state  $|\psi\rangle$ ).

Define "syndrome measurement" with projectors:

"no flip":  $P_0 = |000\rangle\langle 000| + |111\rangle\langle 111|$

"1st qubit flipped":  $P_1 = |100\rangle\langle 100| + |011\rangle\langle 011|$

"2nd qubit flipped":  $P_2 = |010\rangle\langle 010| + |101\rangle\langle 101|$

"3rd qubit flipped":  $P_3 = |001\rangle\langle 001| + |110\rangle\langle 110|$

Measuring  $\{P_\alpha\}$  reveals only 2 bits of information (121)

$\Rightarrow$  one qubit untouched,

By inspection: info. obtained  $\equiv$  location of flip, e.g.

$$\alpha|000\rangle + \beta|111\rangle \xrightarrow[\text{qubit 1}]{\text{flip of}} \alpha|100\rangle + \beta|011\rangle$$

$\Rightarrow$  meas. returns  $P_\pm$ , post meas. state

$$\alpha|100\rangle + \beta|011\rangle \xrightarrow[\text{qubit 1}]{\text{recovery: flip}} \alpha|000\rangle + \beta|111\rangle$$

Works for any single or no flipped qubit, & all states  $|\psi\rangle$ .

(Linearity: also works for parts of large entangled state.)

What about continuous errors, such as

$$|\hat{\psi}\rangle \mapsto e^{i\delta X_i} |\hat{\psi}\rangle = (\cos \delta X_i + i \sin \delta X_i) |\hat{\psi}\rangle ?$$

$$|\hat{\psi}\rangle = \alpha|000\rangle + \beta|111\rangle \xrightarrow[\text{qubit 1}]{\text{error, eg}} \cos \delta \underbrace{(\alpha|000\rangle + \beta|111\rangle)}_{\text{syndrome } P_0} + i \sin \delta \underbrace{(\alpha|100\rangle + \beta|011\rangle)}_{\text{syndrome } P_1}$$

Syndrome meas. collapses state to:

$$p = \cos^2 \theta : P_0 \Rightarrow \alpha |000\rangle + \beta |111\rangle, \text{ no correction } \checkmark$$

$$p = \sin^2 \theta : P_1 \Rightarrow \alpha |100\rangle + \beta |011\rangle, \text{ flip bit 1 } \checkmark$$

Meas. of error syndrome  $P_i$  collapses error onto "digital" error — no error or bit flip  $\Rightarrow$  sufficient to study discrete errors!

What about 2 errors?

$$\alpha |000\rangle + \beta |111\rangle \xrightarrow[\text{in some qubit}]{\text{2 error}} \alpha |000\rangle - \beta |111\rangle$$

This is still in code space (i.e., space of valid  $|4\rangle$ )

$\Rightarrow$  error not detectable, but it has changed  $|4\rangle$ !

$\Rightarrow$  3-qubit bit flip code cannot protect against "phase flip"  $Z$ .

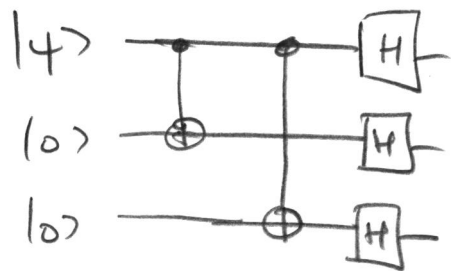
In fact: Phase flip  $Z_i$  acts as logical  $Z$  on the encoded qubit.

### 6) 3-qubit phase flip code

$$\text{We have } Z|+\rangle = |-\rangle, \quad Z|-\rangle = |+\rangle$$

$$Z \text{ error} \hat{=} \text{bit flip error in } |\pm\rangle \text{-basis.}$$

Encoding  $|\hat{0}\rangle = |+++ \rangle$ ,  $|\hat{1}\rangle = |-- \rangle$  will  
 protect against 2 errors!



Syndrome meas.  $\tilde{P}_\alpha := H^{\otimes 3} P_\alpha H^{\otimes 3}$ , recovery  $H X_i H = Z_i$ .

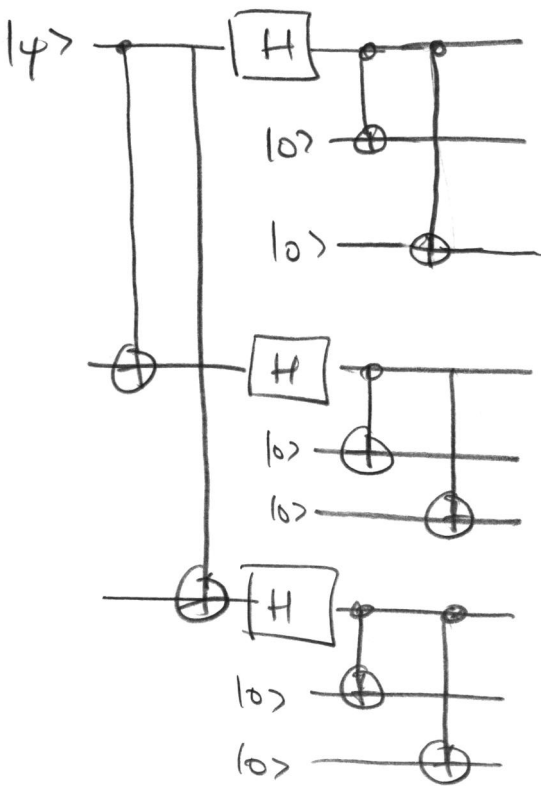
Problem: Now, no protection against bit-flip errors.  
 (and  $X_i$  acts as  $X$  on logical qubit)

V.2. The 9-qubit Shor code

Solution: Concatenate (= nest) 3-qubit bit flip with  
 3-qubit phase flip code!

$$|0\rangle \mapsto |+\rangle|+\rangle|+\rangle \mapsto \frac{(|1000\rangle + |1111\rangle)(|1000\rangle + |1111\rangle)(|1000\rangle + |1111\rangle)}{2\sqrt{2}}$$

$$|1\rangle \mapsto |-\rangle|-\rangle|-\rangle \mapsto \frac{(|1000\rangle - |1111\rangle)(|1000\rangle - |1111\rangle)(|1000\rangle - |1111\rangle)}{2\sqrt{2}}$$



9-qubit Shor code

Can correct any single-qubit Pauli:

(i)  $X_i$  error is corrected at "inner" layer.

(ii)  $Z_i$  error  $\equiv$  logical error on "outer" qubit

$\Rightarrow Z_{\text{block}(i)}$  error on "outer" code (phase-flip)

$\Rightarrow$  correctable!

(iii)  $Y_i \propto X_i Z_i$  :  $X_i$  &  $Z_i$  corrected independently.