

## V.3 Quantum Error Correction Conditions

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Quantum Error Correcting Code (QECC):

Defined by code space  $C$  (elements: "codewords").

Choose basis  $|\hat{i}\rangle$ .

Noise model: CPTP map

$$E(\rho) = \sum E_{\alpha} \rho E_{\alpha}^{\dagger}; \quad \sum E_{\alpha}^{\dagger} E_{\alpha} = \mathbb{1}.$$

Recovery procedure: Measurement + recovery

$\Leftrightarrow$  another CP map  $R$ .

Require that  $R(E(\rho)) = \rho$  for all  $\rho = \frac{1}{4}(|\psi\rangle\langle\psi| + |\phi\rangle\langle\phi| + |\chi\rangle\langle\chi| + |\eta\rangle\langle\eta|) \in C$

Under which conditions on  $C$  &  $E$  does such an  $R$  exist?

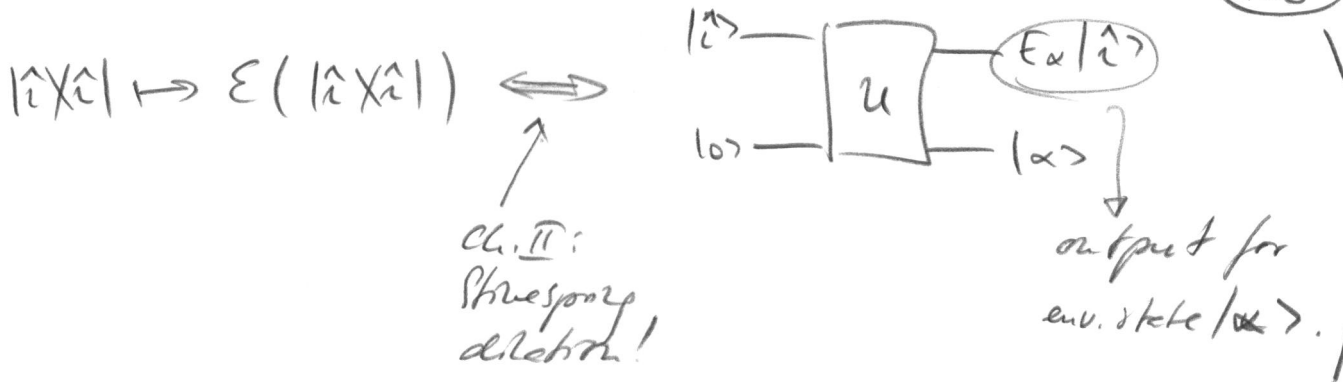
Necessary conditions:

(i) Environment carries no information about  $\rho$

for any  $\rho = \sum p_j |\hat{i}\rangle\langle\hat{j}|$  in  $C$ :

$$\text{Locality} \Rightarrow \underbrace{\langle \hat{i} | E_{\alpha}^{\dagger} E_{\alpha} | \hat{j} \rangle}_{= \text{prob}(\alpha)} = c_{\alpha} \quad (\text{indep. of } i)$$

recall:



(ii) orthogonal states must remain orthogonal (R cannot make states more orthogonal!)

$$E(|i\rangle X |i\rangle) \perp E(|j\rangle X |j\rangle) \text{ for } \langle i | j \rangle = 0$$

↑ orth. support!

i.e.

$$\begin{aligned} \delta_{ij} &\propto \text{tr} ( E(|i\rangle X |i\rangle) E(|j\rangle X |j\rangle) ) \\ &= \sum_{\alpha\beta} \text{tr} ( E_\alpha |i\rangle X |i\rangle E_\alpha^\dagger E_\beta |j\rangle X |j\rangle E_\beta^\dagger ) \\ &= \sum_{\alpha\beta} | \langle i | E_\alpha^\dagger E_\beta | j \rangle |^2 \end{aligned}$$

(i) + (ii)  $\Rightarrow$

$\langle i | E_\alpha^\dagger E_\beta | j \rangle = c_{\alpha\beta} \delta_{ij}$

( $c_{\alpha\beta} = c_{\beta\alpha}^\dagger$ )

Quantum Error Correction Condition

This is also sufficient:

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Use gauge deg. of freedom:

$$\sum E_{\alpha} \rho E_{\alpha}^{\dagger} = \sum F_{\beta} \rho F_{\beta}^{\dagger} \quad \text{w/ } F_{\beta} = \sum V_{\beta\alpha} E_{\alpha}$$

to choose  $F_{\beta}$  s.t.  $C_{\alpha\beta}$  becomes diagonal,

isometry

$$\langle \hat{i} | F_{\alpha}^{\dagger} F_{\beta} | \hat{j} \rangle = \lambda_{\alpha} \delta_{\alpha\beta} \delta_{ij}$$

i.e.: Different  $\alpha$  can be distinguished by measurement and undone:

$$\left( \frac{1}{\lambda_{\beta}} \sum_{\hat{i}} |\hat{i}\rangle \langle \hat{i}| F_{\beta}^{\dagger} \right) F_{\alpha} | \hat{j} \rangle = \delta_{\alpha\beta} | \hat{j} \rangle$$
$$= \lambda_{\alpha} \delta_{\alpha\beta} \delta_{ij}$$

Kraus op. of recovery map

Note: For a single-qubit error,  $\sigma^k$  Pauli  $\sigma^k$  on qubit  $s$

$$E_{\alpha} = \sum_{k,s} \omega_{\alpha,k,s} \sigma_s^k$$

i.e.:  $\langle \hat{i} | (\sigma_k^s)^{\dagger} \sigma_l^r | \hat{j} \rangle \propto \delta_{ij} \Rightarrow \langle \hat{i} | E_{\alpha}^{\dagger} E_{\beta} | \hat{j} \rangle \propto \delta_{ij}$ .

i.e.: Err. Corr. Cond. for Paulis  $\Rightarrow$  err. corr. (128)  
cond. for any single-qubit error!

In particular: Robust to depolarizing channel

$$E(\rho) = p\rho + \frac{(1-p)}{3}(X\rho X + Y\rho Y + Z\rho Z)$$

on every qubit  $\Rightarrow$  robust to any 1-qubit error!

... and similar for  $k$ -qubit errors &  $k$ -fold Pauli products!

Basic properties of QECC:

Focus: "binary codes": encode  $k$  qubits in  $n > k$  qubits.

"Distance"  $d$ : Smallest # of Paulis ( $\neq I$ ) in  $E_\alpha$

$$E_\alpha = P \otimes I \otimes \dots \otimes P \otimes \dots \text{ s.t. } d$$

$$\langle \hat{i} | E_\alpha | j \rangle = \alpha \delta_{ij}$$

Notation:

$[n, k, d]$ -code

phys.  
qubits

encoded  
qubits

distance

How many 1-qubit errors  $t$  can a distance  $d$  code correct? (129)

For  $E_\alpha, E_\beta$  with  $\leq t$  Paulis:

$$\langle i | \underbrace{E_\alpha^\dagger E_\beta}_{\leq 2t \text{ Paulis}} | j \rangle = c_{\alpha\beta} \delta_{ij} \iff \boxed{2t+1 \leq d}$$

i.e.: For  $d=3$ , we can correct all 1-qubit errors.

Note: If location of errors is known,  $E_\alpha^\dagger E_\beta$  has  $\leq t$  Paulis

$$\implies \boxed{t+1 \leq d}$$

or: code can correct  $t$  errors & unknown locations

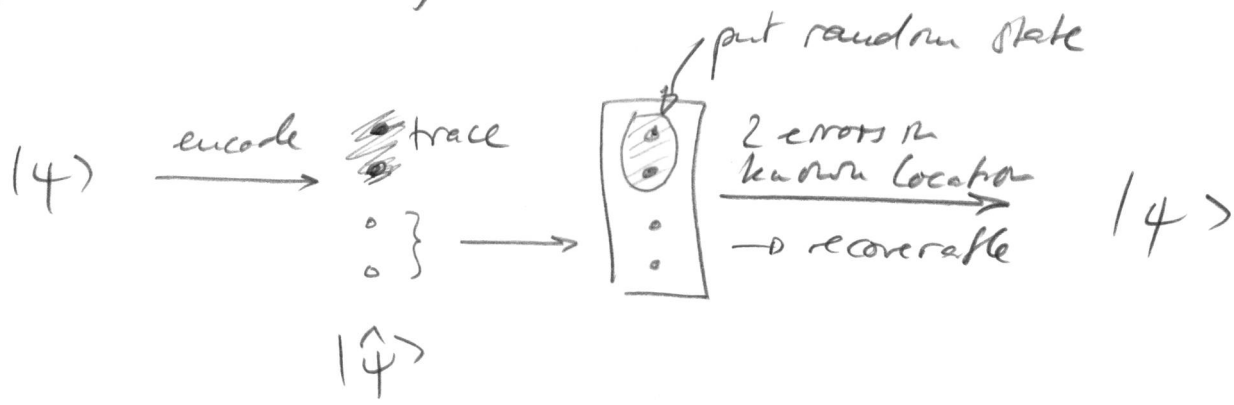
$\iff t$  can correct  $2t$  errors & known locations

Are there constraints on  $[n, k, d]$ ?

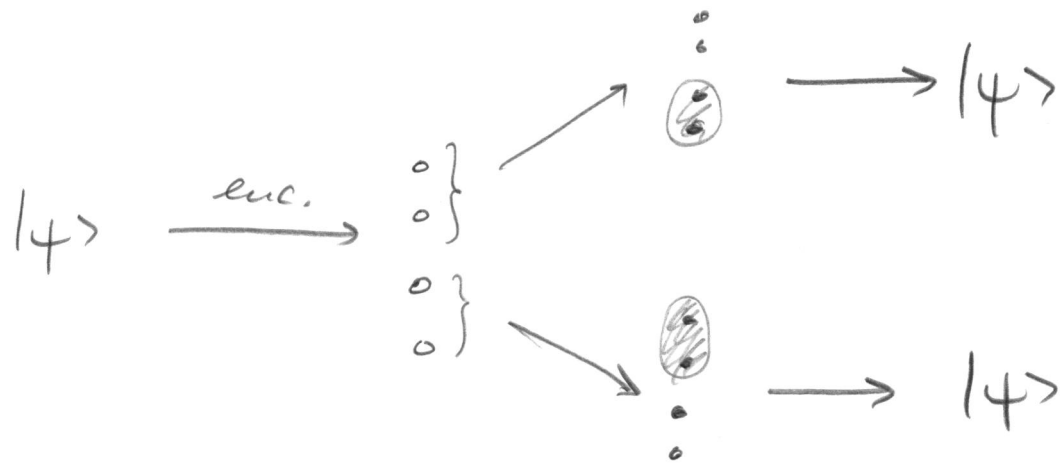
Simplest case:  $k=1, d=3$ . What is minimal  $n$ ?

Claim:  $n \geq 5$ !

Proof via no-cloning:



So...



violates no-cloning!

$[[5, 1, 3]]$  code optimal.

will see: it exists!

## V.4 Stabilizer codes

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Consider the Pauli group on  $n$  qubits

$$\mathcal{G} = \{ i^l P_1 \otimes \dots \otimes P_n \mid P_i = I, X, Y, Z; l = 0, \dots, 3 \}$$

Two elements  $S_1, S_2 \in \mathcal{G}$  either commute or anticommute.

Let  $S_1, \dots, S_r \in \mathcal{G}$  be a lin. indep. set of commuting  $S_i$  (i.e., no  $S_i$  is a product of others.)

Then,  $S_1, \dots, S_r$  generate a subgroup

$$\mathcal{S} = \langle S_1, \dots, S_r \rangle, \text{ the stabilizer group } \mathcal{S}.$$

$\mathcal{S}$  defines subspace  $C$ :

$$|y\rangle \in C \iff |y\rangle = S|y\rangle \quad \forall S \in \mathcal{S}.$$

$C$  forms the code space of a stabilizer code.

$S \in \mathcal{S}$  are called stabilizers.

(Tech. pt: This req.  $-I \notin \mathcal{S}$ , or  $S_i = \pm P_i$ .)

We have  $\dim C = 2^{n-r}$  - each lin. indep. stabilizer removes half the space!

## What about err. corr. conditions?

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Pauli errors  $\Rightarrow E_\alpha^\dagger E_\beta$  Pauli product.

3 possibilities:

(i)  $E_\alpha^\dagger E_\beta$  anti-comm. w/ some  $S \in \mathcal{S}$ :

$$\begin{aligned}\langle \hat{i} | E_\alpha^\dagger E_\beta | \hat{j} \rangle &= \langle \hat{i} | E_\alpha^\dagger E_\beta S | \hat{j} \rangle \\ &= -\langle \hat{i} | S E_\alpha^\dagger E_\beta | \hat{j} \rangle = -\langle \hat{i} | E_\alpha^\dagger E_\beta | \hat{j} \rangle\end{aligned}$$

$$\Rightarrow \langle \hat{j} | E_\alpha^\dagger E_\beta | \hat{i} \rangle = 0 \quad \checkmark$$

(ii)  $E_\alpha^\dagger E_\beta \in \mathcal{S}$ :

$$\langle \hat{i} | \underbrace{E_\alpha^\dagger E_\beta}_{\in \mathcal{S}} | \hat{j} \rangle = \langle \hat{i} | \hat{j} \rangle = \delta_{ij} \quad \checkmark$$

Cases (i) & (ii): error correctable!

(iii)  $E_\alpha^\dagger E_\beta$  comm. w/ all  $S \in \mathcal{S}$ , but  $E_\alpha^\dagger E_\beta \notin \mathcal{S}$ :

$\Rightarrow E_\alpha^\dagger E_\beta$  acts non-trivially on code space:

it is a logical operator.

$\Rightarrow$  not correctable.

Q: What is the shortest  $E_\alpha^\dagger E_\beta$  of that type?



Example: 3-qubit code.

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$$C = \text{Span} \{ |000\rangle, |111\rangle \}$$

$$\left. \begin{array}{l} S_1 = ZZI \\ S_2 = ZIZ \end{array} \right\} \rightarrow \mathcal{P} = \{ III, ZZI, ZIZ, IZZ \}$$

$S_1 S_2$   
" "  
↑ ↑ ↗  
qubits must be  
pairwise equal  
→  $\alpha|000\rangle + \beta|111\rangle$

$$k = 3 - 2 = 1$$

⇒ 1 encoded qubit

Single-qubit X errors:

$$E_x = III, IIX, IXI, XII$$

$$E_x^\dagger E_y = III, IIX, IXI, XII, IXX, XIX, XXI$$

⇔ anti-comm. w/  $S_1, S_2, S_1 S_2$ , or  $\in \mathcal{P}$ .

⇒ correctable!

Single-qubit Z errors:

$$E_z^\dagger E_y = ZII \text{ possible.}$$

ZII comm. w/  $S_1, S_2$ , but  $ZII \notin \mathcal{P}$ !

⇒ 2 errors not correctable!

## Logical operation (or uncorrectable errors...)

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•  $\hat{Z} = \underbrace{ZZI}$  (or any  $\hat{Z}' = \hat{Z} \cdot S, S \in \mathcal{F}$ ,  
distance 2, e.g.  $\hat{Z}' = IZI, ZZZ, \dots$ )

•  $\hat{X} = XXX$ , or e.g.  $\hat{X}' = XXZ, ZZI = YX, \dots$

## Error detection/correction:

X error  $E_x$  can be identified by anti-com. pattern:

e.g.:  $XII$  anti-com. w/  $ZZI, ZIZ \in \mathcal{F}$ .

$\Rightarrow$  allows to (i) correct error

(ii) evn: uniquely identify error  
(non-degenerate "code").

## More examples:

### 3-qubit phase flip code:

$$S_1 = XXI$$

$$S_2 = IXX$$

$$\hat{X} = XII$$

$$\hat{Z} = ZZZ$$

# 9-qubit Shor code

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$$\begin{array}{l}
 \text{3-qubit} \\
 1 \left\{ \begin{array}{l} S_1 = ZZI \quad III \quad III \\ S_2 = IZZ \quad III \quad III \end{array} \right. \\
 \text{3-qubit} \\
 2 \left\{ \begin{array}{l} S_3 = III \quad ZZI \quad III \\ S_4 = III \quad IZZ \quad III \end{array} \right. \\
 \text{3-qubit} \\
 3 \left\{ \begin{array}{l} S_5 = III \quad III \quad ZZI \\ S_6 = III \quad III \quad IZZ \end{array} \right. \\
 \hline
 S_7 = XXX \quad XXX \quad III \\
 S_8 = III \quad \underbrace{XXX} \quad \underbrace{XXX}
 \end{array}$$

8 stabilizers =  
1 encoded qubit!

Logical X on 3-qubit code.

Logical operators: e.g.

$$\left. \begin{array}{l} \hat{Z} = ZZZ \quad ZZZ \quad ZZZ \\ \hat{X} = XXX \quad XXX \quad XXX \end{array} \right\} \begin{array}{l} \text{odd \# of Z/X:} \\ \text{cannot be in } S! \end{array}$$

Shorter: e.g.  $\hat{Z} = ZII \quad ZII \quad ZII$   
 $\hat{X} = XXX \quad III \quad III$

$\hat{X}$  and  $\hat{Z}$  can be measured by meas. only 3 qubits.

(Note: meas. a global function of  $\hat{X}$  &  $\hat{Y}$  must require at least 5 qubits: no cloning argument!)

$\Rightarrow \hat{X}, \hat{Z}$  shortest possible  $\Rightarrow d = 3$  code!

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(Note: Dependent code:  $\overbrace{ZII III III}^{=S_1}$  and  $\overbrace{IZI III III}^{=S_2}$

have same syndrome, since  $S_1 S_2 = ZZI III III \in \mathcal{F}$ .)

The 5-qubit-code:

$S_1 = XZZXI$   
 $S_2 = IXZZX$   
 $S_3 = XIXZZ$   
 $S_4 = ZXIXZ$

Encodes  $5-4 = 1$  qubit

Cyclic code:  $S_1, \dots, S_5$  are cyclic permutations: cyclic code words!

( $S_5 = ZZXIX = S_1 S_2 S_3 S_4$ )

Corrects any 1-qubit error:

$E_a^\dagger E_b = \text{prod. of 2 Pauli's}$

$\Rightarrow$  anti-comm. w/ at least one  $S_i$ !

(E.g.: each col. has one  $I \Rightarrow$  that bit fixed other

Pauli error  $\Rightarrow$  both Pauli's fixed by 2  $S_i \Rightarrow \mathbb{I}$ )

$\Rightarrow$  Distance  $d \geq 3$  (and  $d \leq 3$  from no-cloning)

$\Rightarrow$   $[5, 1, 3]$  QECC!

Non-degen. code, and:  $\underbrace{5+5+5}_{x,y,z \text{ on each qubit}} = 15 \text{ errors,}$

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and  $S_i = \pm 1 \Rightarrow 2^4 - \underset{\substack{\uparrow \\ \text{inv.}}}{1} = 15 \text{ syndromes!}$

1-to-1 relation!

Logical operators:

$$\hat{Z} = zzzzz$$

$$\hat{X} = xxxxx$$

Note: Syndrome meas. + correction can be done using only CNOT, H, X, & ancillas!

General note: There is a general framework to work w/ stabilizers by using arithmetic mod 2.

(Matrices w/  $1 = z$  or  $1 = x$ , e.g. for 5-qubit code:

$$\begin{matrix} S_1 \\ S_2 \\ S_3 \\ S_4 \end{matrix} \left( \begin{array}{ccccc|ccccc} 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 \end{array} \right)$$

$X$  operators
 $Z$  operators