

Proof: " $\Leftarrow$ ": let  $\sqrt{p_i} |\psi_i\rangle = \sum_j u_{ij} \sqrt{q_j} |\phi_j\rangle$ . (24)

Then  $\sum_i p_i |\psi_i\rangle \langle \psi_i| = \sum_i \left( \sum_j u_{ij} \sqrt{q_j} |\phi_j\rangle \right) \left( \sum_{j'} u_{ij'}^* \sqrt{q_{j'}} \langle \phi_{j'}| \right)$

$$= \sum_{j, j'} \sqrt{q_j q_{j'}} |\phi_j\rangle \langle \phi_{j'}| \underbrace{\left( \sum_i u_{ij'}^* u_{ij} \right)}_{= \delta_{j, j'}}$$

$$= \sum_j q_j |\phi_j\rangle \langle \phi_j|.$$

" $\Rightarrow$ ": Homework / see later (equiv. of purification).

#### 4. Schmidt decomposition and purifications

Given  $|\psi\rangle_{AB}$  separable, let

$$\text{tr}_B |\psi\rangle \langle \psi| = \rho_A = \sum_i p_i |i\rangle_A \langle i|_A$$

with  $|i\rangle_A$  eigenvectors (ONB) ("abuse" of notation...)

Choose some ONB  $|a_j\rangle_B$  of  $B$ , expand

$$|\psi\rangle_{AB} = \sum_{i, j} c_{ij} |i\rangle_A |a_j\rangle_B$$

$$= \sum_i |i\rangle_A \left( \sum_j c_{ij} |a_j\rangle_B \right) =: |s_i\rangle; \quad \underline{\underline{\text{no ONB}}}$$

$$\dots = \sum |i\rangle_A |6_i\rangle_B$$

(25)

We have  $\sum_i p_i |i\rangle_A \langle i| = \text{tr}_B |4\rangle\langle 4| = \text{tr}_B \left( \sum_{ii'} |i\rangle_A \langle i'|_A \otimes |6_i\rangle_B \langle 6_{i'}|_B \right)$

$$= \sum_{ii'} |i\rangle_A \langle i'|_A \otimes \text{tr}(|6_i\rangle_B \langle 6_{i'}|_B)$$

$$= \sum_{ii'} \langle 6_{i'} | 6_i \rangle \cdot |i\rangle_A \langle i'|_A$$

Since  $|i\rangle_A$  is basis (lin. indep.) in space of matrices:

$$\Rightarrow \langle 6_{i'} | 6_i \rangle = \delta_{ii'} p_i$$

$$\Rightarrow |i\rangle_B := \frac{1}{\sqrt{p_i}} |6_i\rangle \text{ is } \underline{\text{ONB for B}}$$

different basis than  $|i\rangle_A$  ( $\rightarrow$  watch out!)

Schmidt decomposition:

Any  $|4\rangle_{AB}$  can be written as

$$|4\rangle_{AB} = \sum_i \lambda_i |i\rangle_A |i\rangle_B$$

with ONBs  $|i\rangle_A$  &  $|i\rangle_B$ . The  $\lambda_i = \sqrt{p_i} \geq 0$  are called Schmidt coefficients.

Note:  $P_B = \text{tr}_A |\psi\rangle\langle\psi| = \sum_i \lambda_i^2 |e_i\rangle\langle e_i|_B$

$\Rightarrow |e_i\rangle_B$  eigenvectors of  $P_B$ !

$\Rightarrow$  If  $p_i$  non-degen.: Schmidt decomp. obtained by pairing eigenvectors of  $P_A$  &  $P_B$ .

Important consequence: For pure states  $|\psi\rangle_{AB}$ ,  $P_A$  and  $P_B$  have the same eigenvalues!

How is Schmidt dec. related to other expansions?

$$|\psi\rangle = \sum C_{ij} |x_i\rangle_A |y_j\rangle_B$$

$$= \sum \lambda_k |k\rangle_A |k\rangle_B$$

$\swarrow$                        $\nwarrow$                       some ONBs  
 ONBs

$|x_i\rangle_A, |y_j\rangle_B, |k\rangle_A, |k\rangle_B$  ONBs

$\Rightarrow \exists$  unitaries  $u_{ik}, v_{jk}$  s.t.

$$|k\rangle_A = \sum u_{ik} |x_i\rangle_A ; |k\rangle_B = \sum v_{jk}^* |y_j\rangle_B$$

(pad w/ zeros if necessary...)

$$\Rightarrow \sum c_{ij} |x_i\rangle_A |y_j\rangle_B = \sum \lambda_k u_{ik} v_{jk}^* |x_i\rangle_A |y_j\rangle_B$$

lin. indep. of  $|x_i\rangle_A |y_j\rangle_B$

$$\Rightarrow c_{ij} = \sum_k \lambda_k u_{ik} v_{jk}^*$$

$$\text{or } C = U \cdot D \cdot V^\dagger \quad (C \equiv (c_{ij}))$$

$$\text{with } U, V \text{ unitary, and } D = \begin{pmatrix} \lambda_1 & & & 0 \\ & \ddots & & \\ & & \lambda_n & \\ 0 & & & \ddots & \\ & & & & 0 \end{pmatrix}$$

"Singular value decomposition" (SVD) of  $C$

(Derivation of SVD ( $\rightarrow$  HW):  $U$  diagonalizes  $CC^\dagger$ ,  $V$  etc  
 $\Leftrightarrow$  derivation of Schmidt decomp.!) )

Remark: Any two states  $|\phi\rangle, |\psi\rangle$  s/ident. Schmidt coeffs are related by local unitaries, i.e.

$$\exists U, V: |\phi\rangle = U \otimes V |\psi\rangle.$$

$\Rightarrow$  the  $\lambda_i$  contain all non-local properties,

$$\lambda_1 \geq \lambda_2 \geq \dots$$

Proof:  $|\phi\rangle = \sum \lambda_i |\phi_i^A\rangle = |\phi_i^B\rangle$  (ONBS) (28)

$|\psi\rangle = \sum \lambda_i |\psi_i^A\rangle = |\psi_i^B\rangle$  (ONBS)

$|\phi_i^A\rangle, |\psi_i^A\rangle$  ONB  $\Rightarrow \exists U: |\phi_i^A\rangle = U|\psi_i^A\rangle \forall i$

& same for B:  $\exists V: |\phi_i^B\rangle = V|\psi_i^B\rangle \forall i$   $\square$

(Again: Pad w/ 0 if necessary.)

Purification:

Any  $|\psi\rangle_{AB}$  s.t.  $\text{tr}_B |\psi\rangle\langle\psi| = \rho_A$  is called a

purification of  $\rho_A$ .

need not be orthogonal!

(E.g.  $\rho_A = \sum P_i |\psi_i\rangle\langle\psi_i| \Rightarrow \sum P_i |\psi_i\rangle |i\rangle$  is pur.)

Given two purifications  $|\phi\rangle$  &  $|\psi\rangle$  of  $\rho_A$ , what is their relation?

Write  $|\phi\rangle, |\psi\rangle$  in Schmidt form:

$|\phi\rangle = \sum \lambda_i |\phi_i^A\rangle |\phi_i^B\rangle$  (all ONBS)

$|\psi\rangle = \sum \mu_i |\psi_i^A\rangle |\psi_i^B\rangle$

$\lambda_i, \mu_i$  w/ descending.

We have

(29)

$$\sum \lambda_i |\phi_i^A\rangle\langle\phi_i^A| = \text{tr}_B |\phi\rangle\langle\phi| = \text{tr}_B |\psi\rangle\langle\psi| = \sum \mu_i |\psi_i^A\rangle\langle\psi_i^A|$$

$$\Rightarrow \lambda_i = \mu_i, |\phi_i^A\rangle = |\psi_i^A\rangle \text{ (up to phase)}$$

if  $\lambda_i$  non-degen. (degen.  $\rightarrow$  HW)

Now choose  $U$  s.t.  $U|\phi_i^B\rangle = |\psi_i^B\rangle \forall i$  ( $\Rightarrow U$  unitary)

$$\Rightarrow |\psi\rangle = (U \otimes I) |\phi\rangle.$$

All purifications are related by a unitary on the purifying system.

(Note: Closely related to unitary equivalence of ensemble decompositions  $\rightarrow$  HW!)

36. Mixed states - unitary evolution + projective measurement

Unitary evolution of mixed state

How does a mixed state  $\rho_A$  evolve under a unitary  $U_A$ ?

Consider purification  $|\psi\rangle_{AB}$ ,  $\text{tr}_B |\psi\rangle\langle\psi| = \rho_A$ .

$$|\psi\rangle \longmapsto (U_A \otimes I_B) |\psi\rangle$$

$$\begin{aligned} \Rightarrow \rho_A &= \text{tr}_B |\psi\rangle\langle\psi| \longmapsto \text{tr}_B [(U_A \otimes \mathbb{1}_B) |\psi\rangle\langle\psi| (U_A^\dagger \otimes \mathbb{1}_B)] \\ &= U_A \cdot \text{tr}_B [(U_A \otimes \mathbb{1}_B) |\psi\rangle\langle\psi| (U_A \otimes \mathbb{1}_B)] U_A^\dagger \\ &= \underline{\underline{U_A \rho_A U_A^\dagger}} \end{aligned}$$

(Alt. derivation:  $\rho_A = \sum p_i |\psi_i\rangle\langle\psi_i|$  &  $|\psi_i\rangle \mapsto U_A |\psi_i\rangle$ )

Measurement of mixed states:

Proj. measurement  $E_u$ :

Have seen:  $p_u = \text{tr}[E_u \rho_A]$ .

Post-meas. state:

$$\begin{aligned} \rho_{A,u} &= \frac{1}{p_u} \text{tr}_B [(E_u \otimes \mathbb{1}) |\psi\rangle\langle\psi| (E_u^\dagger \otimes \mathbb{1})] \\ &= E_u \rho_A E_u^\dagger \end{aligned}$$

## 5. POVM measurements

(31)

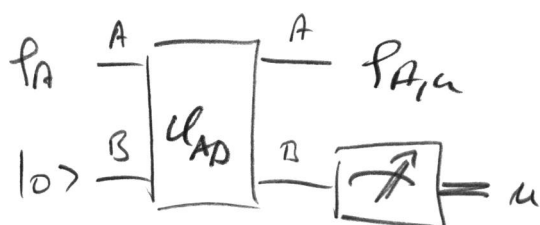
Have seen: add'l system  $B \rightarrow$  more rich situation

What measurements can we realize by adding extra system?

Idea: i) Add "ancilla"  $B$  in state  $|0\rangle$

ii) act w/ unitary  $U_{AB}$

iii) measure  $B$  in  $|0\rangle, \dots, |d_B-1\rangle$



Post-meas. state (un-normalized):

$$\tilde{\rho}_u^A = \langle u |_B U (\rho_A \otimes |0\rangle\langle 0|_B) U^\dagger |u\rangle_B$$

$$= \pi_u \rho_A \pi_u^\dagger, \text{ with } \pi_{u,i} = \langle u |_B U |0\rangle_B$$

$$\equiv (U_A \otimes \langle u |_B) U (U_A \otimes |0\rangle_B)$$

$$\text{and } \underline{\underline{p_u}} = \text{tr } \tilde{\rho}_u^A = \text{tr} (\pi_u \rho_A \pi_u^\dagger) = \text{tr} (\underline{\underline{\pi_u^\dagger \pi_u \rho_A}}).$$

We have

$$\underline{\underline{\sum_u \pi_u^\dagger \pi_u}} = \sum_u \langle 0 |_B U^\dagger |u\rangle_B \langle u |_B U |0\rangle_B = \langle 0 |_B \underline{\underline{U}} |0\rangle_B = \underline{\underline{U_A}}$$

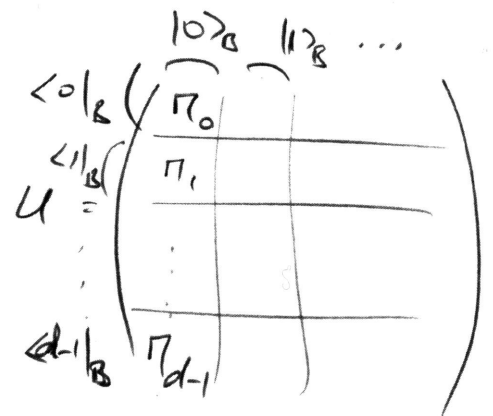


(Easures  $\sum p_u = \sum \text{tr}(\pi_u^\dagger \pi_u \rho_A) = \text{tr}(\rho_A) = 1$ ) (32)

Definition: A set  $\{F_u = \pi_u^\dagger \pi_u\}$  with  $0 \leq F_u \leq \mathbb{1}$ ,  $\sum F_u = \mathbb{1}$ , is called positive operator-valued measure, and the corresp. measurement w/ outcome probs  $p_{u|A} = \text{tr}[\pi_u^\dagger \pi_u \rho] = \text{tr}[F_u \rho]$  a POVM measurement.

Can any  $\{\pi_u\}$  w/  $\sum \pi_u^\dagger \pi_u = \mathbb{1}$  be realized by extensions + unitaries?

$\begin{pmatrix} \pi_0 \\ \vdots \\ \pi_{d-1} \end{pmatrix} \quad \sum \pi_u^\dagger \pi_u = \mathbb{1} : \text{orth. columns}$   
 $\implies$   
 can be extended to unitary



i.e.:  $\langle u|_B U |0\rangle_B = \pi_u$

$\implies$  any POVM meas.  $\{\pi_u\}$  can be realized by unitary  $U$  + projective measurement!

Is this the most general measurement?

Most gen. linear model: Set  $\{F_u\}$  s.t.  $p_u = \text{tr}[F_u \rho]$ .

Wlog., we can choose  $F_u = F_u^\dagger$ . If not; write

$$F_u = \underbrace{\frac{1}{2}(F_u + F_u^\dagger)}_{\text{herm. part}} + \underbrace{\frac{1}{2}(F_u - F_u^\dagger)}_{\text{anti-herm. part.}}$$

$$\text{tr}[(F_u - F_u^\dagger)^\dagger \rho] \underset{\substack{\uparrow \\ p_u \geq 0: \text{trace real!}}}{=} \text{tr}[(F_u - F_u^\dagger) \rho]^\dagger = \text{tr}[\rho \cdot (F_u^\dagger - F_u)] = -\text{tr}[(F_u - F_u^\dagger) \rho]$$

$$\Rightarrow \text{tr}[(F_u - F_u^\dagger)^\dagger \rho] = 0 \Rightarrow \underline{\underline{\text{assume } F_u \text{ hermitian!}}}$$

Conditions:

•  $1 = \sum p_u = \text{tr}[(\sum F_u) \rho]$  for all  $\rho \Rightarrow \underline{\underline{\sum F_u = 1}}$

•  $0 \leq p_u = \text{tr}[F_u \rho] \Rightarrow F_u \geq 0$

(otherwise choose  $|\phi\rangle$  s.t.  $F_u |\phi\rangle = \lambda |\phi\rangle$ ,  $\lambda < 0$ :

$$\text{tr}[F_u |\phi\rangle\langle\phi|] = \lambda < 0 \quad \downarrow)$$

Moreover, any  $F_u \geq 0$  is of the form  $F_u = \Pi_u^\dagger \Pi_u$ , e.g.

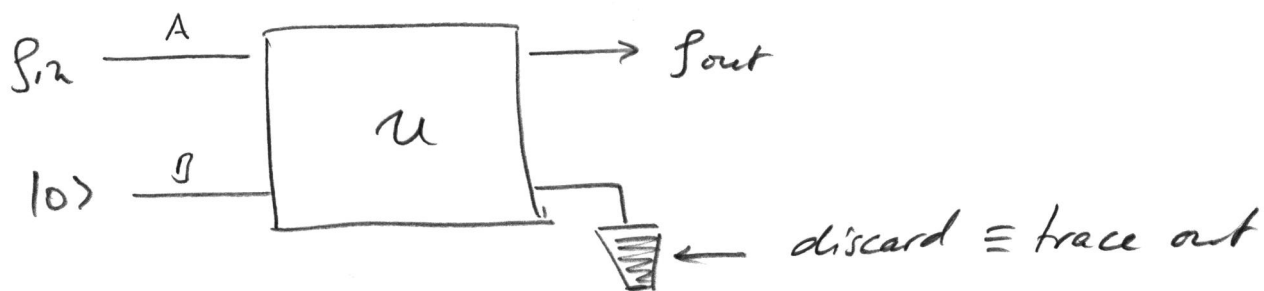
$$F_u = \sum \lambda_i |\phi_i\rangle\langle\phi_i| \Rightarrow \Pi_u = \begin{pmatrix} \sqrt{\lambda_1} |\phi_1\rangle \\ \sqrt{\lambda_2} |\phi_2\rangle \\ \vdots \end{pmatrix}$$

$\Rightarrow$  Most general measurement!

## 6. General evolution - superoperators

Q.: What is the most general physical map on density matrices ("superoperator")?

Idea: Try to add ancilla:



$$\rho \mapsto \mathcal{E}(\rho) = \text{tr}_B [ U (\rho \otimes |0\rangle\langle 0|) U^\dagger ]$$

$$= \sum_u \underbrace{\langle u|_B U |0\rangle_B}_{=: \Pi_u} \rho \langle 0|_B U^\dagger |u\rangle_B$$

$$= \sum_u \Pi_u \rho \Pi_u^\dagger$$

(Note: trace in diff. basis  $\Rightarrow$  diff.  $\Pi_u$ : not unique!)

Properties of  $\Pi_u$ ? As before:

$$\sum_u \Pi_u^\dagger \Pi_u = \sum_u \langle 0|_B U |u\rangle_B \langle u|_B U^\dagger |0\rangle_B = \mathbb{1}_A$$

Kraus representation:

We call

$$E(\rho) = \sum \Pi_n \rho \Pi_n^\dagger; \quad \sum \Pi_n^\dagger \Pi_n = \mathbb{1}$$

the Kraus form or Kraus representation of  $E$ .

Note: Any such  $E$  can be realized by ancilla + unitary (cf. POVM). In fact,  $E$  can be seen as POVM where we ignore result (meas. by environment).

Is this the most general physical evolution?

Conditions for physical evolution  $E$ :

- (i) hermiticity-preserving:  $\rho = \rho^\dagger \Rightarrow E(\rho) = E(\rho)^\dagger$
- (ii) positive:  $\rho \geq 0 \Rightarrow E(\rho) \geq 0$ .
- (iii) trace-preserving:  $\text{tr}(\rho) = 1 \Rightarrow \text{tr}(E(\rho)) = 1$
- (iv) linear:  $E(\rho + \lambda \sigma) = E(\rho) + \lambda E(\sigma)$

(Note: w/out linearity, ensemble interpretation breaks down  $\rightarrow$  HW)