

# V. Quantum Error Correction

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## V.1. Introduction

- Coupling to environment induces errors
- Classical computers: "microscope"  $\rightarrow$  errors unlikely
- Q. computers: - need qubits = "single" quantum systems  
 $\rightarrow$  fragile!  
- need coupling to "env." to realize gates!

So... can we protect quantum information from noise?

### Classical error correction:

copy information, e.g. encode 1 bit as 3:

$$0 \mapsto \hat{0} = 000$$

$$1 \mapsto \hat{1} = 111$$

Bit flip w/ some (small) probability  $p \Rightarrow$  typ. 0 or 1 bits flipped

Correction: majority vote, i.e.

$$000, 001, 010, 100 \mapsto 000$$

$$111, 110, 101, 011 \mapsto 111$$

$$P_{\text{error}} = \text{prob}(\geq 2 \text{ flips}) = p^3 + 3p^2(1-p) = 3p^2 < p \text{ for } \underline{p < 1/3!}$$

⇒ effective error prob. decreased.

Can be improved by

- using more bits
- using smarter codes (encoding  $k$  bits)
- nesting ("concatenating") codes

Quantum error correction:

Several potential problems:

- Can't copy qubits (and even if: how do we compare them?)
- different types of errors, e.g.  $X$  (bit flip) or  $Z$  (phase flip)
- errors can be continuous
- measuring qubits destroys q. info!

a) The 3-qubit bit flip code

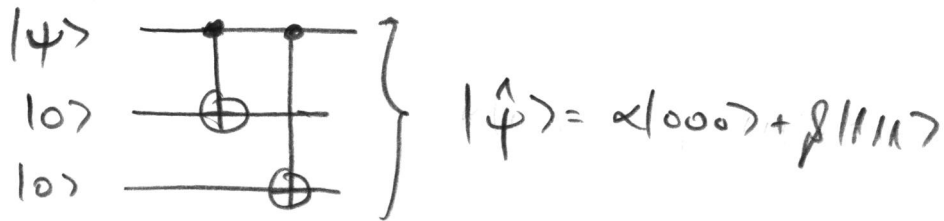
Copy qubits in fixed basis:

$$|0\rangle \mapsto |\hat{0}\rangle = |000\rangle$$

$$|1\rangle \mapsto |\hat{1}\rangle = |111\rangle$$

i.e.:  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \xrightarrow{\text{encoding}} |\hat{\psi}\rangle = \alpha|000\rangle + \beta|111\rangle$  120

Encoding circuit:



Consider bit flip error  $|\hat{\psi}\rangle \mapsto X_i|\hat{\psi}\rangle$  on qubit  $i$ .

Can we correct for one bit flip error on unknown qubit?

Problem: Meas. all qubits destroys q. info!

$\Rightarrow$  Need meas. which only returns info about location of error (indep. of encoded state  $|\psi\rangle$ ).

Define "syndrome measurement" with projectors:

"no flip":  $P_0 = |000\rangle\langle 000| + |111\rangle\langle 111|$

"1st qubit flipped":  $P_1 = |100\rangle\langle 100| + |011\rangle\langle 011|$

"2nd qubit flipped":  $P_2 = |010\rangle\langle 010| + |101\rangle\langle 101|$

"3rd qubit flipped":  $P_3 = |001\rangle\langle 001| + |110\rangle\langle 110|$

Measuring  $\{P_\alpha\}$  reveals only 2 bits of information (121)

$\Rightarrow$  one qubit untouched,

By inspection: info. obtained  $\equiv$  location of flip, e.g.

$$\alpha|000\rangle + \beta|111\rangle \xrightarrow[\text{qubit 1}]{\text{flip of}} \alpha|100\rangle + \beta|011\rangle$$

$\Rightarrow$  meas. returns  $P_\pm$ , post meas. state

$$\alpha|100\rangle + \beta|011\rangle \xrightarrow[\text{qubit 1}]{\text{recovery: flip}} \alpha|000\rangle + \beta|111\rangle$$

Works for any single or no flipped qubit, & all states  $|\psi\rangle$ .

(Linearity: also works for parts of large entangled state.)

What about continuous errors, such as

$$|\hat{\psi}\rangle \mapsto e^{i\delta X_i} |\hat{\psi}\rangle = (\cos \delta X_i + i \sin \delta X_i) |\hat{\psi}\rangle ?$$

$$|\hat{\psi}\rangle = \alpha|000\rangle + \beta|111\rangle \xrightarrow[\text{qubit 1}]{\text{error, eg}} \cos \delta \underbrace{(\alpha|000\rangle + \beta|111\rangle)}_{\text{syndrome } P_0} + i \sin \delta \underbrace{(\alpha|100\rangle + \beta|011\rangle)}_{\text{syndrome } P_1}$$

Syndrome meas. collapses state to:

$$p = \cos^2 \theta : P_0 \Rightarrow \alpha |000\rangle + \beta |111\rangle, \text{ no correction } \checkmark$$

$$p = \sin^2 \theta : P_1 \Rightarrow \alpha |100\rangle + \beta |011\rangle, \text{ flip bit 1 } \checkmark$$

Meas. of error syndrome  $P_i$  collapses error onto "digital" error — no error or bit flip  $\Rightarrow$  sufficient to study discrete errors!

What about 2 errors?

$$\alpha |000\rangle + \beta |111\rangle \xrightarrow[\text{in some qubit}]{\text{2 error}} \alpha |000\rangle - \beta |111\rangle$$

This is still in code space (i.e., space of valid  $|4\rangle$ )

$\Rightarrow$  error not detectable, but it has changed  $|4\rangle$ !

$\Rightarrow$  3-qubit bit flip code cannot protect against "phase flip"  $Z$ .

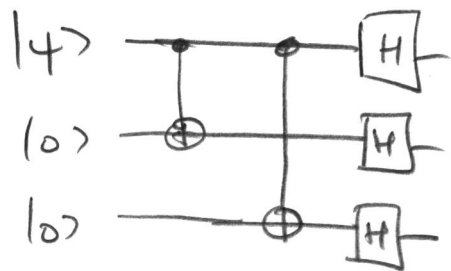
In fact: Phase flip  $Z_i$  acts as logical  $Z$  on the encoded qubit.

### 6) 3-qubit phase flip code

$$\text{We have } Z|+\rangle = |-\rangle, \quad Z|-\rangle = |+\rangle$$

$$Z \text{ error} \hat{=} \text{bit flip error in } |\pm\rangle \text{-basis.}$$

Encoding  $|\hat{0}\rangle = |+++ \rangle$ ,  $|\hat{1}\rangle = |-- \rangle$  will  
 protect against 2 errors!



Syndrome meas.  $\tilde{P}_\alpha := H^{\otimes 3} P_\alpha H^{\otimes 3}$ , recovery  $H X_i H = Z_i$ .

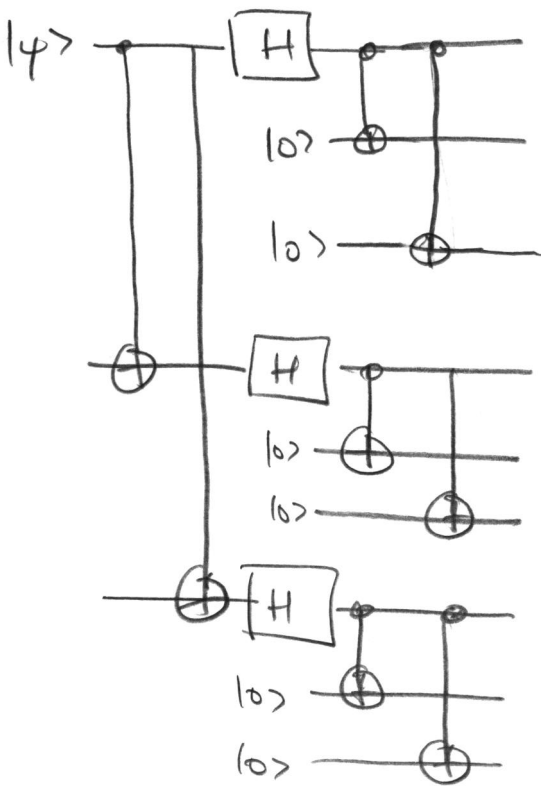
Problem: Now, no protection against bit-flip errors.  
 (and  $X_i$  acts as  $X$  on logical qubit)

## V.2. The 9-qubit Shor code

Solution: Concatenate (= nest) 3-qubit bit flip with  
 3-qubit phase flip code!

$$|0\rangle \mapsto |+\rangle|+\rangle|+\rangle \mapsto \frac{(|1000\rangle + |1111\rangle)(|1000\rangle + |1111\rangle)(|1000\rangle + |1111\rangle)}{2\sqrt{2}}$$

$$|1\rangle \mapsto |-\rangle|-\rangle|-\rangle \mapsto \frac{(|1000\rangle - |1111\rangle)(|1000\rangle - |1111\rangle)(|1000\rangle - |1111\rangle)}{2\sqrt{2}}$$



9-qubit Shor code

Can correct any single-qubit Pauli:

(i)  $X_i$  error is corrected at "inner" layer.

(ii)  $Z_i$  error  $\equiv$  logical error on "outer" qubit

$\Rightarrow Z_{\text{block}(i)}$  error on "outer" code (phase-flip)

$\Rightarrow$  correctable!

(iii)  $Y_i \propto X_i Z_i$  :  $X_i$  &  $Z_i$  corrected independently.

## V.3 Quantum Error Correction Conditions

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Quantum Error Correcting Code (QECC):

Defined by code space  $C$  (elements: "codewords").

Choose basis  $|\hat{i}\rangle$ .

Noise model: CPTP map

$$E(\rho) = \sum E_{\alpha} \rho E_{\alpha}^{\dagger}; \quad \sum E_{\alpha}^{\dagger} E_{\alpha} = \mathbb{1}.$$

Recovery procedure: Measurement + recovery

$\Leftrightarrow$  another CP map  $R$ .

Require that  $R(E(\rho)) = \rho$  for all  $\rho = \frac{1}{4}(|\psi\rangle\langle\psi| + |\phi\rangle\langle\phi| + |\chi\rangle\langle\chi| + |\eta\rangle\langle\eta|) \in C$

Under which conditions on  $C$  &  $E$  does such an  $R$  exist?

Necessary conditions:

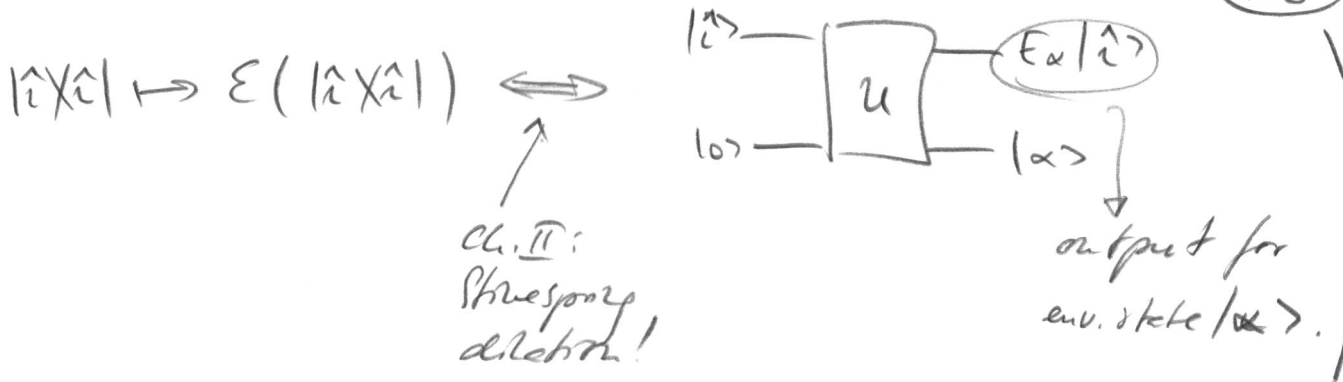
(i) Environment carries no information about  $\rho$

for any  $\rho = \sum p_j |\hat{i}\rangle\langle\hat{j}|$  in  $C$ :

$$\text{Locality} \Rightarrow \underbrace{\langle \hat{i} | E_{\alpha}^{\dagger} E_{\alpha} | \hat{j} \rangle}_{= \text{prob}(\alpha)} = c_{\alpha} \quad (\text{indep. of } i)$$



recall:



(ii) orthogonal states must remain orthogonal (R cannot make states more orthogonal!)

$$E(|\hat{i}\rangle X |\hat{i}\rangle) \perp E(|\hat{j}\rangle X |\hat{j}\rangle) \quad \text{for } \langle \hat{i} | \hat{j} \rangle = 0$$

↑ orth. support!

i.e.

$$\begin{aligned} \delta_{ij} &\propto \text{tr} ( E(|\hat{i}\rangle X |\hat{i}\rangle) E(|\hat{j}\rangle X |\hat{j}\rangle) ) \\ &= \sum_{\alpha, \beta} \text{tr} ( E_\alpha |\hat{i}\rangle X |\hat{i}\rangle E_\alpha^\dagger E_\beta |\hat{j}\rangle X |\hat{j}\rangle E_\beta^\dagger ) \\ &= \sum_{\alpha, \beta} | \langle \hat{i} | E_\alpha^\dagger E_\beta | \hat{j} \rangle |^2 \end{aligned}$$

(i) + (ii)  $\Rightarrow$

$\langle \hat{i} | E_\alpha^\dagger E_\beta | \hat{j} \rangle = c_{\alpha\beta} \delta_{ij}$

( $c_{\alpha\beta} = c_{\beta\alpha}^\dagger$ )

Quantum Error Correction Condition

This is also sufficient:

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Use gauge deg. of freedom:

$$\sum E_{\alpha} \rho E_{\alpha}^{\dagger} = \sum F_{\beta} \rho F_{\beta}^{\dagger} \quad \text{w/ } F_{\beta} = \sum V_{\beta\alpha} E_{\alpha}$$

to choose  $F_{\beta}$  s.t.  $C_{\alpha\beta}$  becomes diagonal,

isometry

$$\langle \hat{i} | F_{\alpha}^{\dagger} F_{\beta} | \hat{j} \rangle = \lambda_{\alpha} \delta_{\alpha\beta} \delta_{ij}$$

i.e.: Different  $\alpha$  can be distinguished by measurement and undone:

$$\left( \frac{1}{\lambda_{\beta}} \sum_{\hat{i}} |\hat{i}\rangle \langle \hat{i}| F_{\beta}^{\dagger} \right) F_{\alpha} | \hat{j} \rangle = \delta_{\alpha\beta} | \hat{j} \rangle$$
$$= \lambda_{\alpha} \delta_{\alpha\beta} \delta_{ij}$$

Kraus op. of recovery map

Note: For a single-qubit error,  $\sigma^k$  Pauli  $\sigma^k$  on qubit  $s$

$$E_{\alpha} = \sum_{k,s} \omega_{\alpha,k,s} \sigma_s^k$$

i.e.:  $\langle \hat{i} | (\sigma_k^s)^{\dagger} \sigma_l^r | \hat{j} \rangle \propto \delta_{ij} \Rightarrow \langle \hat{i} | E_{\alpha}^{\dagger} E_{\beta} | \hat{j} \rangle \propto \delta_{ij}$ .

i.e.: Err. Corr. Cond. for Paulis  $\Rightarrow$  err. corr. (128)  
cond. for any single-qubit error!

In particular: Robust to depolarizing channel

$$E(\rho) = p\rho + \frac{(1-p)}{3}(X\rho X + Y\rho Y + Z\rho Z)$$

on every qubit  $\Rightarrow$  robust to any 1-qubit error!

... and similar for  $k$ -qubit errors &  $k$ -fold Pauli products!

Basic properties of QECC:

Focus: "binary codes": encode  $k$  qubits in  $n > k$  qubits.

"Distance"  $d$ : Smallest # of Paulis ( $\neq I$ ) in  $E_\alpha$

$$E_\alpha = P_1 \otimes I \otimes \dots \otimes P_n \dots \text{ s.t.}$$

$$\langle \hat{i} | E_\alpha | j \rangle \neq \alpha \delta_{ij}$$

Notation:

$[n, k, d]$  - code

phys.  
qubits

encoded  
qubits

distance

How many 1-qubit errors  $t$  can a distance  $d$  code correct? (129)

For  $E_\alpha, E_\beta$  with  $\leq t$  Paulis:

$$\langle i | \underbrace{E_\alpha^\dagger E_\beta}_{\leq 2t \text{ Paulis}} | j \rangle = c_{\alpha\beta} \delta_{ij} \iff \boxed{2t+1 \leq d}$$

i.e.: For  $d=3$ , we can correct all 1-qubit errors.

Note: If location of errors is known,  $E_\alpha^\dagger E_\beta$  has  $\leq t$  Paulis

$$\implies \boxed{t+1 \leq d}$$

or: code can correct  $t$  errors & unknown locations

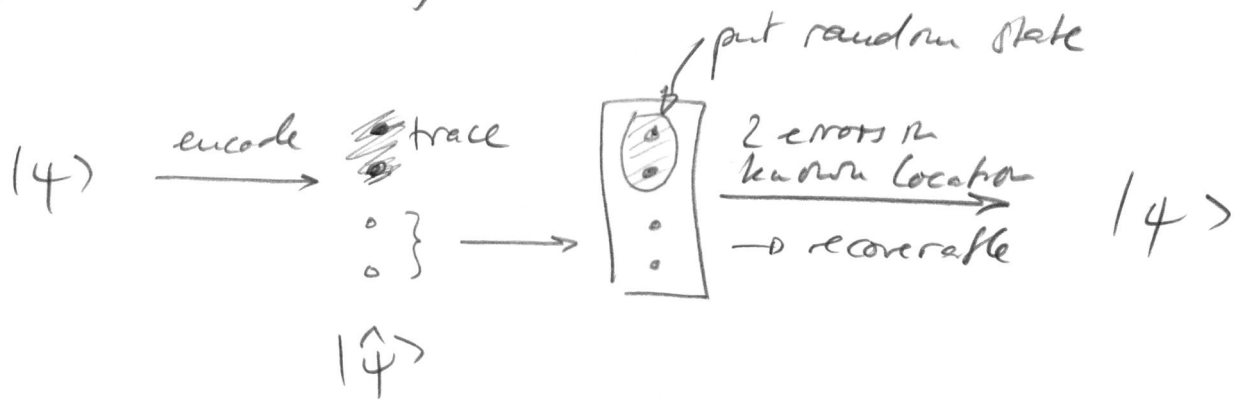
$\iff t$  can correct  $2t$  errors & known locations

Are there constraints on  $[n, k, d]$ ?

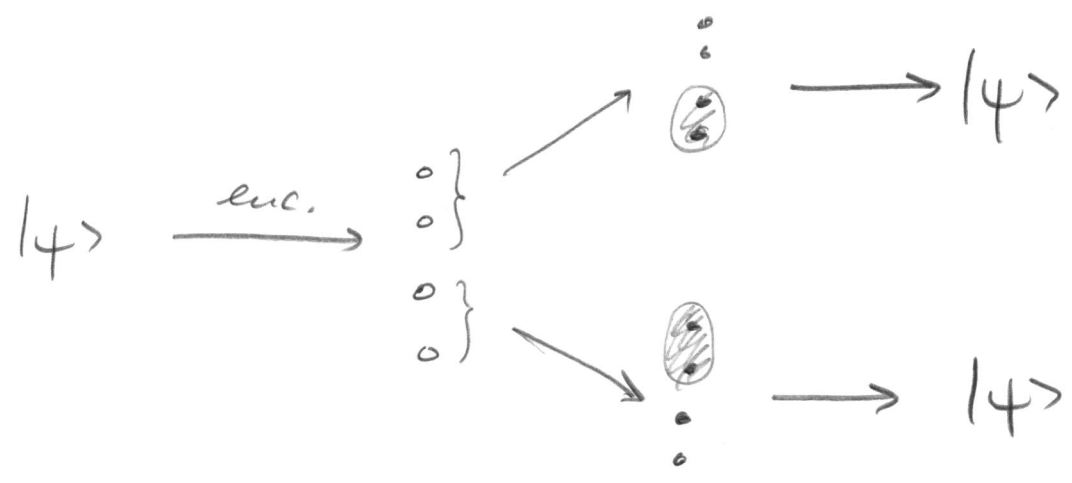
Simplest case:  $k=1, d=3$ . What is minimal  $n$ ?

Claim:  $n \geq 5$ !

Proof via no-cloning:



So...



violates no-cloning!

$[[5, 1, 3]]$  code optimal.

will see: it exists!